# The role of Gut Microbiota in Allergic Disease



#### Christina E West MD, PhD

A/Professor, Pediatric allergist Clinical Sciences, Pediatrics Umeå University, Sweden



#### **Disclosures**

I have received research support from Arla Foods

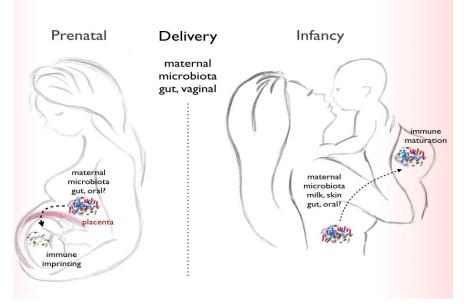
I have received lecture fees and travel support from Nutricia, HiPP, Nestlé Nutrition and Arla Foods

I receive royalties from UptoDate

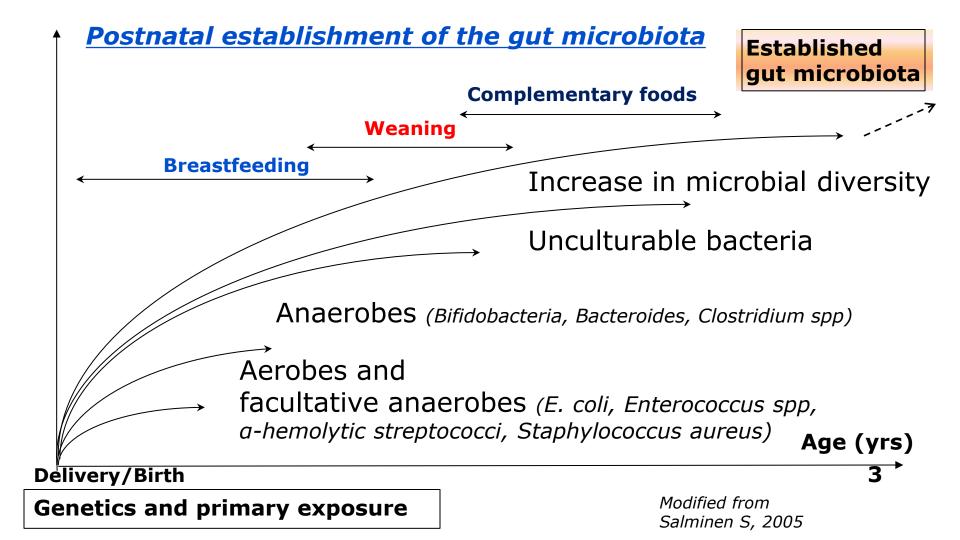
- Development of early life gut microbiota and immune tolerance
- Dysbiosis in early life microbiota and allergic diseases
- Current evidence on pre/pro/synbiotics for allergy management
- Results from the ASSIGN trial

## Microbial imprinting may start in utero

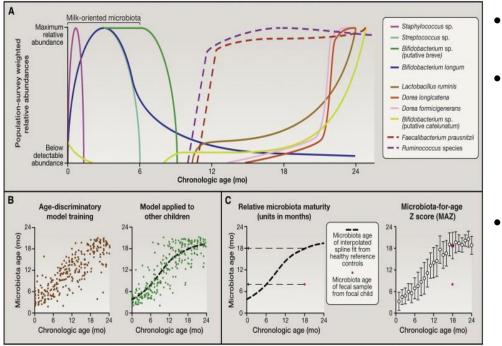
- DNA from bacterial taxa in the feto-placental unit
- Microbes may travel via the blood stream from the oral cavity to the placenta (Aagard et al, Sci Transl Med 2014)
- Translocation over the gut epithelium also suggested
- Transmission of administered labeled bacterial strains from the mother to her offspring in mice (Jimenez et al, Res Microbiol 2008)



West CE, Jenmalm MC, Kozyrskyj AL, Prescott SL, Expert Rev Clin Immunol 2016



# **Predictable microbiome development**



- The early gut microbiota abundant in bifidobacteria
- Microbes that characterize early stages of development are more capable of metabolizing nutrients associated with breast feeding
  - Later stages have a gut microbiota enriched in genes that can help to digest solid foods



#### **Caesarean section delivery**

- ↑ Staphylococcus
  ↑ Clostridium difficile
- **•** Bacteroides
- ✤ Diversity

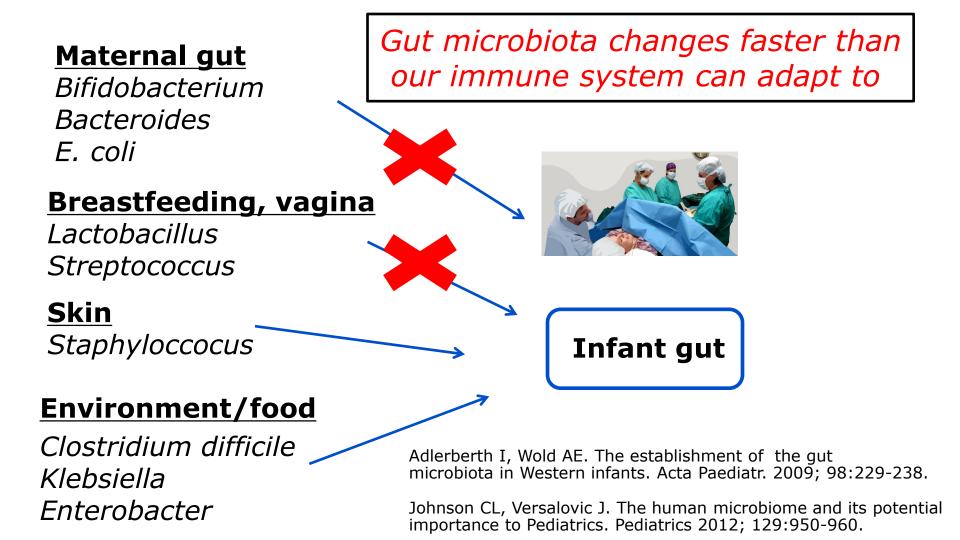
Dominguez-Bello et al, Proc Natl Acad Sci USA 2010;107:11971–11975. Jakobsson H, Andersson AF et al, Gut 2014;63:559–566. Bäckhed F, Wang et al, Cell, Host and Microbe 2015;17:690–703.



#### **Vaginal delivery**

↑ Lactobacillus
 ↑ Prevotella
 ↑ Sneathia

•Caesarean section delivery associated with increased risk of allergic and autoimmune disease (Kristensen K et al, JACI 2016;137:587-90)



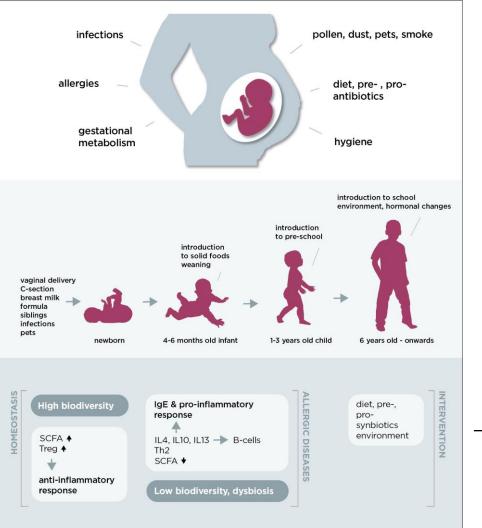
## Homeostasis



- Balance between the gut microbiota and the host
- Major functions of gut microbiota
  - <u>Metabolic</u>
    - Fermentation of non-digestible dietary residue
  - <u>Trophic</u>
    - Gut integrity
    - Development of the immune system
  - <u>Protective</u>
    - Protects against pathogens

West CE, Renz H, Jenmalm MC et al. The gut microbiota and infammatory non-communicable diseases: Associations and potentials for gut microbiota therapies. JACI 2015;135:3-13.

- Gut microbial imbalance
  - May impact both composition and function
- Dysbiosis has been associated with development of allergic diseases in infants and children



- Microbiota establishment is influenced by <u>diet</u> and <u>environmental exposures</u> perinatally and in childhood
- Parallells the development of innate and adaptive immune pathways
- High biodiversity
  - Short-chain fatty acid production and induction of Treg cells
- Low biodiversity/dysbiosis
  - IgE production and proinflammatory responses

Simonyte Sjödin K, Vidman L, Rydén P, West CE. Emerging evidence of the role of gut microbiota in the development of allergic diseases. Curr Opin Allergy Immunol, 2016;16:390-5.



## **Dysbiosis in allergic disease**

- Reduced relative abundance (RA) and a-diversity of <u>Bacteroidetes</u> in infancy before onset of IgE- associated eczema and asthma *Abrahamsson, Jenmalm et al JACI 2012 and Clin Exp Allergy 2014*
- Reduced RA of <u>Ruminococcaceae</u> at 1 yr, 5 times more likely in food-sensitized infants in the CHILD cohort study <u>Azad, Kozyrskyj et al Clin Exp Allergy 2015</u>
- Reduced RA of <u>Ruminococcaceae</u> at 1 week preceded onset of IgE-ass eczema; the RA of <u>Ruminococcus</u> was inversely correlated with inflammatory responses

West, Prescott et al, Clin Exp Allergy 2015

#### **Toll-like receptors (TLRs)- ancient "gatekeepers" in innate immunity**

- Expressed on epithelial and endothelial cells, leukocyte subsets in blood
- Sense conserved structural components of microbes
- T regulatory cells (Tregs) recently shown to express TLRs
- TLR activation can increase or decrease the suppressor activity of T regulatory cells, thus providing an important link between innate and adaptive immunity

# **Innate immune responses in allergic disease**

#### Increased inflammatory responses following Tolllike receptor (TLR)-activation

Tulic JACI 2011;122:391

#### Immature T-helper 1 (Th1) function

*Tulic JACI 2011;122:391* 

#### **Immature T regulatory function**

Smith JACI 2008;121:1460 Schaub JACI 2008;121:1491

Atopic mothers			IgE- associated eczema
		6 mo: PBMC cultured microbial ligands for T and TLR4 (Gram -)	•
3rd trimester 1 week	1 mo	12 mo	2.5 yrs
Atopic mothers			Not IgE- sensitized No eczema

West CE, Rydén P, Lundin D, Engstrand L, Tulic MK, Prescott SL. Gut microbiome and innate immune response patterns in IgE-associated eczema. Clin Exp Allergy. 2015;45:1419-29.



Lower a-diversity of Bacteroidetes



At 1 week: Reduced relative abundance of *Ruminococcaceae* 

*Inverse relationship Ruminococcus* 

and TLR-2 induced

TNF-a (rs=-0.597)

IL-6 (rs=-0.567)

West CE, Rydén P, Lundin D, Engstrand L, Tulic MK, Prescott SL. Gut microbiome and innate immune response patterns in IgE-associated eczema. Clin Exp Allergy. 2015;45:1419-29.



# Lower abundance of *Ruminococcaceae* in food sensitization and IgE-associated eczema

A reduction of potentially immunemodulatory bacteria (ruminococci) is associated with aberrant innate immune responses and increased risk of atopic eczema

West et al, 2015

A reduction in the abundance of *Ruminococcaceae* at 1 yr, was 5 times more likely in food-sensitized infants, independently of

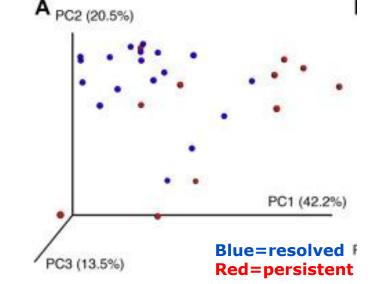
- Delivery mode
- Breastfeeding
- Anitibiotics exposure

#### Azad et al, 2015

- Ruminococci produce bacteriocins, e.g. Ruminococcin A, which can inhibit the development of Clostridium species
- Ruminococci can degrade fiber, increase SCFA production

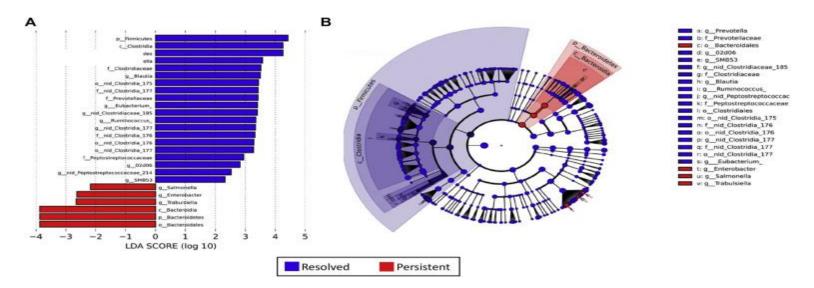
## **Early gut microbiome composition associated with resolution of CMA**

- Consortium of Food Allergy observational study (N=226 children, enrolled in infancy)
- CMA (IgE-mediated)
- Stool sampled at entry
- Followed until 8 years
- Milk allergy resolved in 128 children (56.6%) by 8 years of age



Supinda Bunyavanich, Nan Shen, Alexander Grishin, Robert Wood, Wesley Burks, Peter Dawson, Stacie M. Jones, Donald Y.M. Leung, Hugh Sampson, Scott Sicherer, Jose C. Clemente

Early-life gut microbiome composition and milk allergy resolution



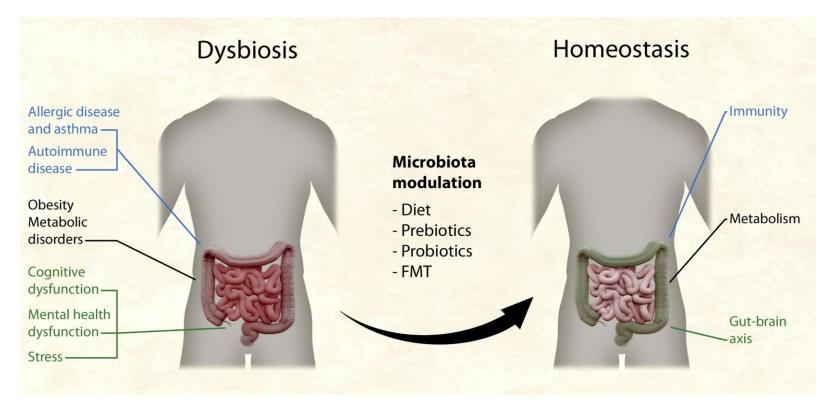
Distinct gut microbiome composition associated with milk allergy resolution. Taxa within Clostridia and Firmicutes were enriched in children sampled at age 3 to 6 months with milk allergy resolution versus milk allergy persistence by age 8 years.

Supinda Bunyavanich, Nan Shen, Alexander Grishin, Robert Wood, Wesley Burks, Peter Dawson, Stacie M. Jones, Donald Y.M. Leung, Hugh Sampson, Scott Sicherer, Jose C. Clemente

Early-life gut microbiome composition and milk allergy resolution

Journal of Allergy and Clinical Immunology, Volume 138, Issue 4, 2016, 1122–1130 http://dx.doi.org/10.1016/j.jaci.2016.03.041

## **Dysbiosis in non-communicable diseases**



West CE, Renz H, Jenmalm MC et al. The gut microbiota and infammatory non-communicable diseases: Associations and potentials for gut microbiota therapies. JACI 2015;135:3-13.



## Definitions

• **Prebiotics**- "A dietary prebiotic is a selectively fermented ingredient that results in specific changes, in the composition and/or activity of the gastrointestinal microbiota, thus conferring benefit(s) upon host health" (ISAAP 2008)

Commonly used prebiotics in infant formula: galacto- and fructooligosaccharides and their combination 9:1

#### **Prebiotics**

- Oligosaccharides are the third largest fraction in human milk and confer many potential benefits in breastfed infants
- Current commercially available prebiotics are less complex
- Prebiotics have the potential to promote gut colonization with bifidobacteria; some studies have demonstrated immunestimulating effects
- Increases the production of short-chain fatty acids, with nutritive and anti-inflammatory effects

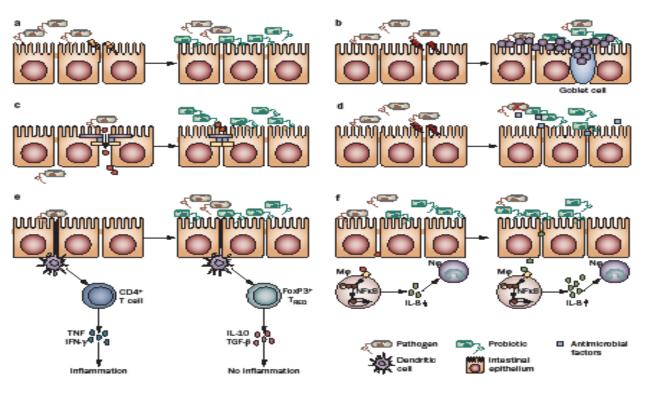
*West CE. Prebiotics in infancy and childhood: clinical research warranted. Br J Nutr, 2011. Frei R et al. Prebiotics, probiotics, synbiotics, and the immune system: experimental data and clinical evidence. Curr Opin Gastroenterol, 2015.* 





• **Probiotics** "Live microorganisms, which when administered in adequate amounts confer a health benefit on the host" (FAO/WHO 2002)

# Commonly used probiotics are strains of bifidobacteria and lactobacilli



**Probiotics-** "live microorganisms which, when administered in adequate amounts confer a health benefit on the host" WHO/FAO

Gareau MG, Sherman PM, Walker WA. Probiotics and the gut microbiota in human health and disease. Nat Rev Gastroenterol Hepatol 2010; 7:503-14.

- a) Block pathogen entry
- c) Maintain intestinal integrity
- b) Create a mucus barrier
   d) Produce antimicrobial factors
- e) Stimulate innate immune system via dendritic cells
- f) Stimulate/dampen innate immune response

#### **Synbiotics**

- Synbiotics- combination of pre- and probiotics
- Anticipated to have more global effects on colonization
- Meta-analysis eczema management (six studies, 369 children): SCORAD -6.56 (95% CI -11.43 to -1.68; P=0.008)
- "the effect most evident in children >1 yr and if the synbiotic contained a mix of bacterial strains"

Chang YS, et al. Synbiotics for Prevention And Treatment of Atopic Dermatitis: A Meta-analysis of Randomized Clinical Trials. JAMA Pediatr. 2016;170(3):236-242.

#### **Current recommendations**

International expert bodies do not generally recommend pre- pro- or synbiotics for management or prevention of allergic disease

<u>Probiotics</u>: "there is a likely net benefit from using probiotics resulting primarily from prevention of eczema" in infants at high risk of allergic disease <u>WAO guideline panel 2015</u>

<u>Prebiotics</u>: "suggests using prebiotic supplementation in notexclusively breastfed infants and not using prebiotic supplementation in exclusively breastfed infants" for allergy prevention <u>WAO guideline panel 2016</u>

Recommendations conditional, based on very low certainty of the evidence

#### Significant heterogeneity between studies

- The gut microbiota develops to a complex and diverse ecosystem during the first years of life
- Aberrant intestinal colonization and reduced biodiversity have been associated with allergic diseases
- Pre- pro- and synbiotics have the potential to promote healthy gut colonization and immune maturation in allergic disease
- To date, significant heterogeneity between conducted studies precludes general recommendation on their use

#### SYNBIOTICS ARE HYPOTHESISED TO PLAY A ROLE IN MANAGEMENT OF ALLERGIC DISEASE

Studies suggest eHF + probiotics and/or prebiotics may have beneficial effect in allergy management (*Berni Canani 2012, Gruber 2010, Van de Aa 2010 & 2011*)

Very limited data regarding Non-IgE mediated allergic infants receiving AAF

**Aim**: Clinical study to assess the effect of an Amino Acid based Formula (AAF) with a synbiotic blend on gut microbiota and clinical symptoms in suspected GI Non-IgE mediated CMA

- Control product: Commercially available AAF
- Test product: AAF + synbiotics\*; mixture of short and longchain fructooligosaccharides, *Bifidobacterium breve* M-16V

Michaelis et al. 2016



Principal Investigator - Dr Louise Michaelis Consultant Paediatrician in Immunology and Allergy

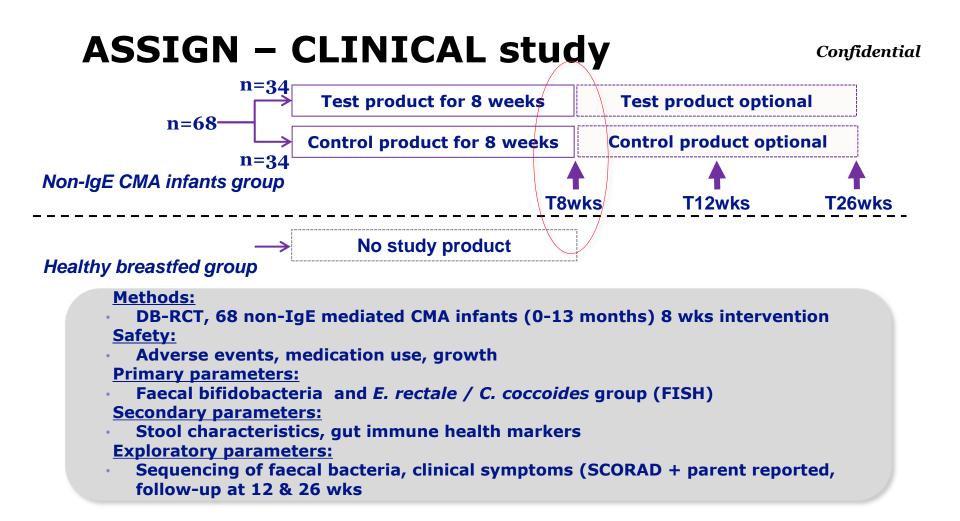


# **Previous studies including the specific synbiotic ingredients**

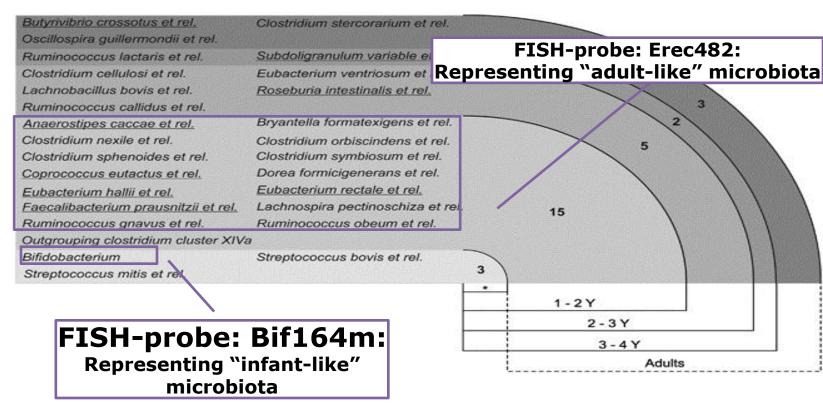
Two double-blind randomized controlled clinical trials evaluated safety and growth (AAF vs AAF with synbiotics) and hypoallergenicity

Subjects	Ν	Study length	Conclusions AAF with synbiotics	Ref.
Healthy infants full term (0 - 15 days)	115	16 weeks	- Safe - Adequate growth in healthy infants	Harvey et al. 2014
CMA infants (0-8 months) confirmed IgE and/or non-IgE mediated	110	16 weeks	<ul> <li>Safe</li> <li>Adequate growth in CMA infants</li> <li>Suitable for management of CMA</li> <li>Exploratory outcome suggests less reported infections, less antibiotics</li> </ul>	Burks et al. 2015
CMA infants/childre n (0-3years) confirmed IgE with DBPCFC	30	<b>7 days</b> As per American Academy of Pediatrics criteria	- Hypoallergenic (no allergic reaction to AAF with specific synbiotic ingredients in 30 CMA infants)	Harvey et al. 2014





#### **Development of core microbiota – A gradual transition** from infant to adult-like



Cheng et al., ISME J. 2016;10(4):1002-14

#### Confidential

### **Demographics - Intention to treat**

■ _ ■		■ _ ■		
	Test (N = 35)	Control (N = 36)	Total CMA (N = 71)	Healthy subjects (N = 51)
Age at baseline (months)				
Mean (SD)	5.67 (3.24)	6.33 (2.71)	6.00 (2.98)	7.84 (3.25)
Min - Max	1.8 - 12.8	1.2 - 11.6	1.2 - 12.8	2.6 - 14.2
Sex (%)				
Female	28.6	25.0	26.8	45.1
Male	71.4	75.0	73.2	54.9
Race (%)				
Asian	5.7	2.8	4.2	0.0
Black	2.9	0.0	1.4	0.0
Caucasian / White	88.6	88.9	88.7	92.2
Combination Of Above / Other	2.9	8.3	5.6	7.8
Mode of delivery (%)				
Caesarean section	20.0	41.7	31.0	13.7
Vaginal	80.0	58.3	69.0	86.3
Country of residence (%)				
Belgium	17.1	13.9	15.5	0.0%)
United Kingdom	60.0	69.4	64.8	29.4
Italy	17.1	13.9	15.5	11.8
Sweden	5.7	2.8	4.2	58.8

N is number of subjects. Denominator for % is number of subjects in treatment group with non-missing data.

**PI ASSIGN: Dr. Louise Michaelis** 

**Consultant Paediatrician in Immunology and Allergy** 

The Newcastle upon Tyne Hospitals

**Great North Children's Hospital, UK** 



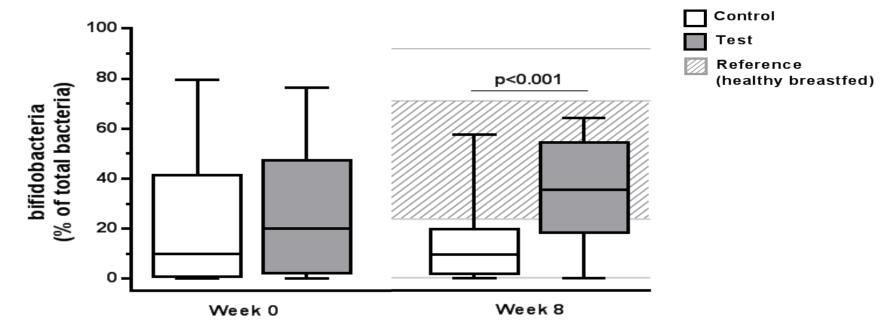
# Primary outcomes in intention to treat analysis (ITT)





Confidential

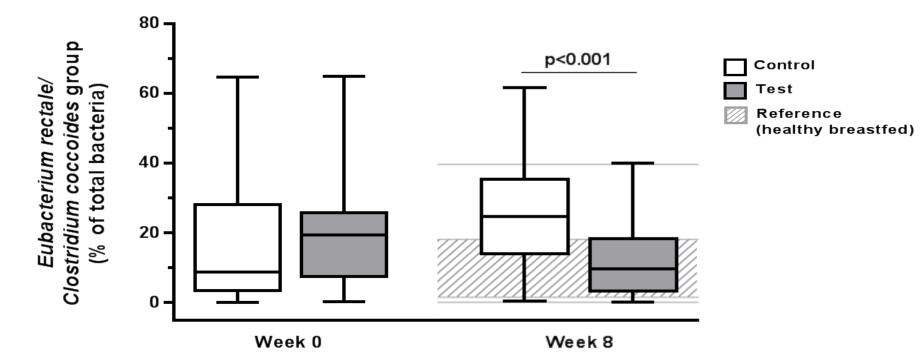
## Increased level of – infant-like – Bifidobacteria



Statistics are based on ANCOVA comparing Test vs Control with Week 8 values as outcome, stratification factor (skin or gastrointestinal symptoms) and imputed baseline values as covariate and treatment as fixed effect.

PI ASSIGN: Dr. Louise Michaelis Great North Children's Hospital, UK

#### **Decreased level of – adult-like –** *E. Rectale / C. coccoides* group



Confidential

Statistics are based on ANCOVA comparing Test vs Control with Week 8 values as outcome, stratification factor (skin or gastrointestinal symptoms) and imputed baseline values as covariate and treatment as fixed effect.

PI ASSIGN: Dr. Louise Michaelis Great North Children's Hospital, UK

#### ASSIGN – Conclusions

- The investigated AAF with synbiotics is safe and suitable for dietary management of infants with suspected Non-IgE mediated CMA
- <u>Primary outcome met:</u> Eight weeks use of AAF with specific synbiotics results in:
  - ✓ Increase of infant like bifidobacteria
  - ✓ Decrease of adult like *E. rectale / C. coccoides* group
  - ✓ Bifidobacteria and *E. rectale / C. coccoides* levels in the test group are <u>close to levels seen in age matched healthy subjects</u>
  - **Further analysis** ongoing for wk12 and wk26 clinical and fecal data; Wk 8 in depth microbiota (by sequencing method)

The Newcastle upon Tyne Hospitals NHS Foundation Trust PI ASSIGN: Dr. Louise Michaelis Great North Children's Hospital, UK



# Acknowledgements

- The research team of Dr. Louise Michaelis
- Dr Quentin Campbell-Hewson
- Phil Woodsford
- Claire Simmister
- Evelyn Thomas
- Anne McDonnell
- Prof. David Candy, Royal Alexandra Children's Hospital, UK
- Dr. Assad Butt, Royal Alexandra Children's Hospital, UK
- Dr. Adam Fox, Guy's & St Thomas' Hospital, UK
- Dr. Lee Noimark, Barts / Royal Hospital, UK
- Dr, Neil Shah, Great Ormond Street Children's Hospital, UK
- Prof Antonella Muraro, University Hospital Padova, IT
- Dr. Diego Peroni, University Hospital Verona, IT
- Prof. Yvan Vandenplas, University Hospital Brussels, BE
- Prof. Francoise Smets, U.C.L. Saint-Luc, BE
- Dr. Sandra Mullier, HUDERF Brussels, BE
- Prof. Christina West, Umeå University, SE



Nutricia Research:
Ewa Latko
Lucien Harthoorn
Marleen van Ampting
Manon Oude Nijhuis
Barbara Mourmans
Reina den Hollander
Willemien Sinke
Marjolein Alvares
Heidi Sonnemans
Rob Slump
Laboratory of Microbiology, Wageningen

University, Wageningen, The Netherland Prof Jan Knol, Harm Wopereis





**Umeå university** A/Prof Patrik Rydén Linda Vidman



#### SciLife Lab, Karolinska Institutet

Prof Lars Engstrand Dr Daniel Lundin Hugo Wefer, Annika Fahlen

#### **University of Western Australia**

Prof Susan Prescott, Prof Meri Tulic

CAIR research team



THE UNIVERSITY OF Western Australia

**Funding:** Umeå University, Swedish Society of Medical Research, Throne Holst Foundation, Astma research grant UWA



# **Question & Answer Section**

- Registered Dietitians or Registered Nurses
- To obtain your **1 CPEU credit**:
- 1. Sign into:
- http://NutriciaLearningCenter.com
- 2. Click on *CE credit Request*
- 3. Input **needed information**:

# EVENT CODE: GWRGM1

# EVENT DATE: 11/22/2016



The opinions expressed are those of the presenter and not necessarily reflective of the views of Nutricia North America. Any specific brands mentioned are examples or recommendations from this healthcare professional and aside from those which specify they are manufactured by Nutricia, are not affiliated with or endorsed by Nutricia.